

# U.S. Department of Education

2001-2002 Application Processing  
System Update  
NASFAA Conference  
Washington, D.C.  
July 9 - 12, 2000



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# 2001-2002 Delivery System Update

- ★ Important Dates
- ★ Application Processing Volumes
- ★ Electronic Applications
- ★ PINs
- ★ FAFSA Changes
- ★ Paper Renewal FAFSA/Renewal Application Process
- ★ Changes to SAR and ISIR
- ★ System Edits
- ★ EDExpress (slides in handout)

# 2001-2002 Delivery System

## Important Dates

- ✓<sub>DONE</sub> ★ Feb Began system development
- ✓<sub>DONE</sub> ★ July First Renewal Application Action Letter on IFAP
- ✓<sub>DONE</sub> ★ July Draft ISIR Layout available on IFAP: <http://ifap.ed.gov>
- ★ Aug Second Renewal Application Process Action Letter on IFAP
- ★ Sept Delivery System Changes Action Letters on IFAP

HANDOUT

# 2001-2002 Delivery System

## Important Dates

- ★ Oct 2      Renewal Application Process begins
- ★ Nov        SAR/ISIR Guide posted on IFAP
- ★ Nov        Revised ISIR Layout in 2001-2002 Technical Reference
- ★ Nov        Federal School Code List mailed
- ★ Jan 2      2001-2002 delivery system starts up
- ★ Jan 2      FAFSA on the Web/Renewal FAFSA on the Web/FAFSA Express available

**HANDOUT**

# Application Volume

## 1999-2000 and 2000-2001

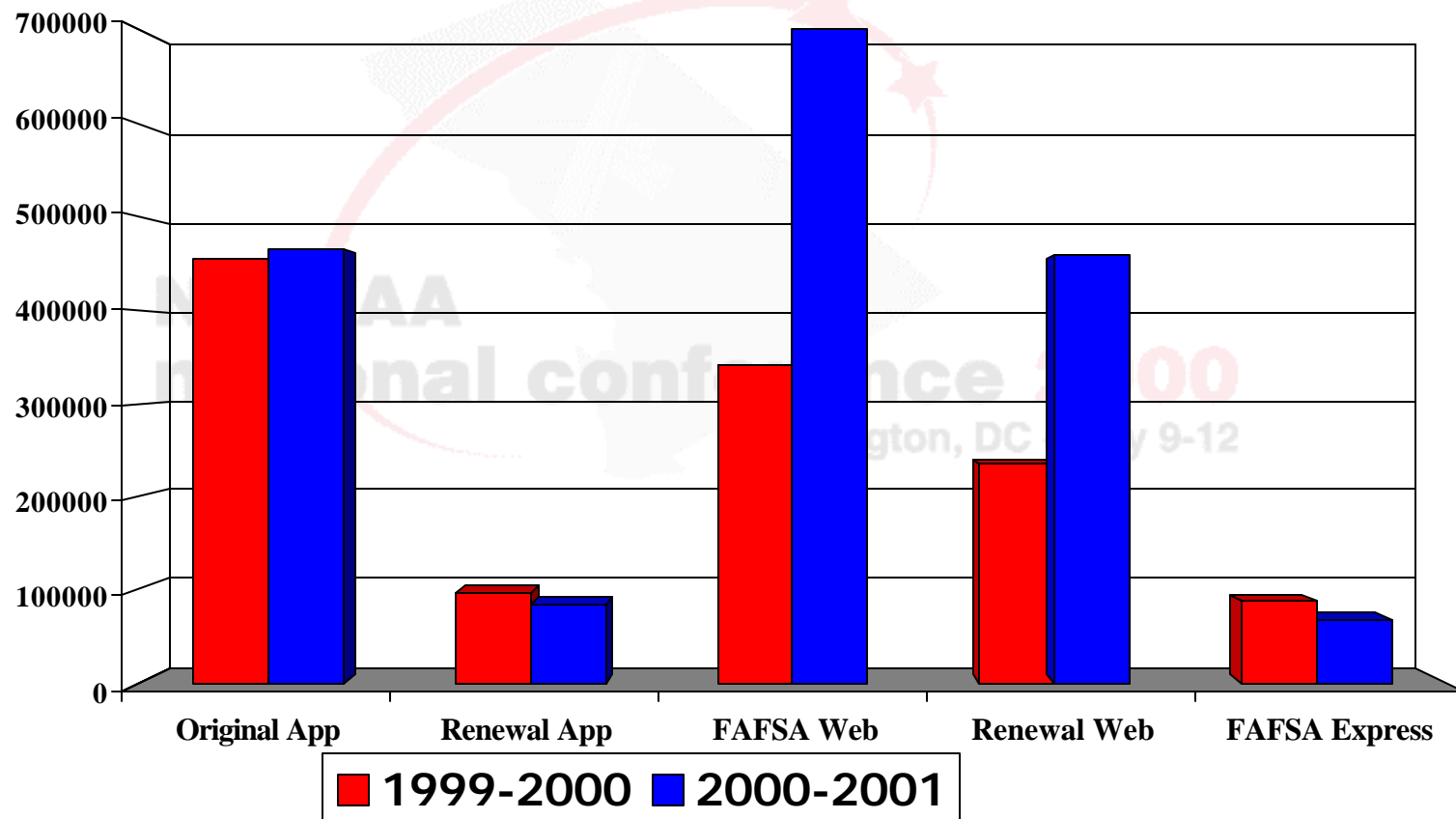
### ★ Volume

- 1999-2000 FAFSAs: 6,378,842 as of June 25, 1999 (Week 24)
- 2000-2001 FAFSAs: 6,397,255 as of June 25, 2000 (Week 24)
- 0.20% increase in volume from 1999-2000 to 2000-2001

# Electronic Applications

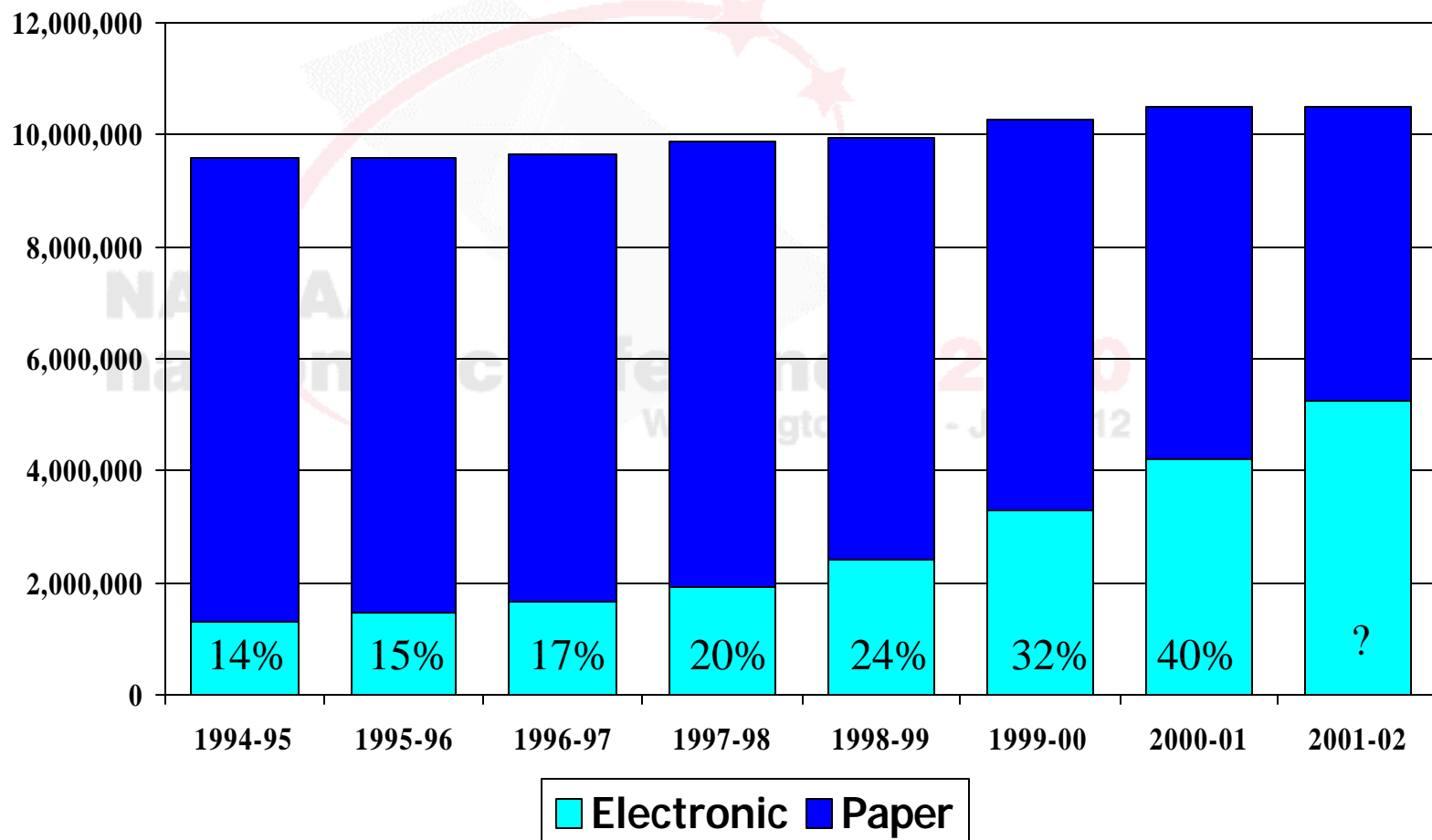
1999-2000 and 2000-2001

**For the first 24 weeks of processing for 1999-2000 and 2000-2001**



# FAFSA Processing

## Electronic vs. Paper



# 2001-2002 FAFSA on the Web Enhancements

- ★ Available Jan. 2, 2001
- ★ Blended interview and form-based formats:
  - Most students using form-based format
  - Incorporates best of both formats
  - Includes skip logic and editing
- ★ Spanish version available





# FAFSA on the Web

## Enhancements

- ★ Captures PIN up front
  - Makes signature process easier
  - Allows for automatic scan to see if student is eligible to file a Renewal Application
    - If eligible, student given option to apply using Renewal FAFSA on the Web



# FAFSA on the Web

## Enhancements

- ★ Redesigned Home Page
  - Tabular organization
- ★ FOTW re-engineered using Java Servlets
  - Serves up one page at a time
    - Reduces scrolling
    - Easier navigation
  - Reduces browser problems

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Untitled Document - Netscape

File Edit View Go Communicator Help

FAFSA ON THE WEB

Welcome Getting Started **Entering a FAFSA** Check My Submitted FAFSA Federal School Code Search Privacy Act & Security Info Frequently Asked Questions Help

Fill Out a FAFSA Make Corrections **Electronically Sign My FAFSA** Question 28/35 Worksheet Request a Duplicate Student Aid Report Other Electronic Options

## Entering a FAFSA



[Fill Out a FAFSA](#)  
To start your FAFSA on the Web application, including Renewals.



[Make Corrections](#)  
If you want to make Corrections to your FAFSA.



[Electronically Sign My FAFSA](#)  
If you've already submitted your application, you can sign your FAFSA application electronically. You must have a U.S. Department of Education PIN.



[Check My Submitted FAFSA](#)  
Not only can you check on the status of your application with the Central Processing System, if your application has already been processed you can view your calculated results.



[Question 28/35 Worksheet](#)  
The Question 28/35 Worksheet helps you determine whether a federal law suspending federal aid to those convicted of possession or sales of drugs (not including alcohol and tobacco) affects you. Question 28 applies to 2000-2001. Question 35 applies to 2001-2002.



[Request a Duplicate Student Aid Report](#)  
If you've lost your Student Aid Report (SAR) or just need another copy sent to you, request a duplicate here.

file:///D:/Office/FOTW/0102/WIPs/Next Meeting/Entfafa/security.htm

# FAFSA on the Web

## Enhancements

- ★ Improved progress bar
  - Makes clearer to applicants which sections they:
    - have completed
    - still need to complete
  - Includes steps for signature and submittal processes
  - Allows for easy navigation back to sections already completed

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Form Approved  
OMB No. 1845-0001  
App. Exp. 6/30/01

## 2000-2001 Renewal FAFSA Application

### Step One: You (the Student)

1. Last Name:  2. First Name:  3. Middle Initial:
4. Permanent Street Address:
5. City:  6. State:  7. Zip Code:
8. Social Security Number (Data cannot be entered in this field.): 123-45-6789

Previous

Next

Clear Form

Save

Help

[Customer Service](#) | [Frequently Asked Questions](#)

Introduction

1. Personal Info

2. Financial Info

3. Dependency Status

4. Parents Info

5. Household Info

6. Schools

7. Final Check/Review

8. Signatures

9. Print

10. Submit

11. Finish



*You can use these steps to  
jump to sections you've  
already completed.*

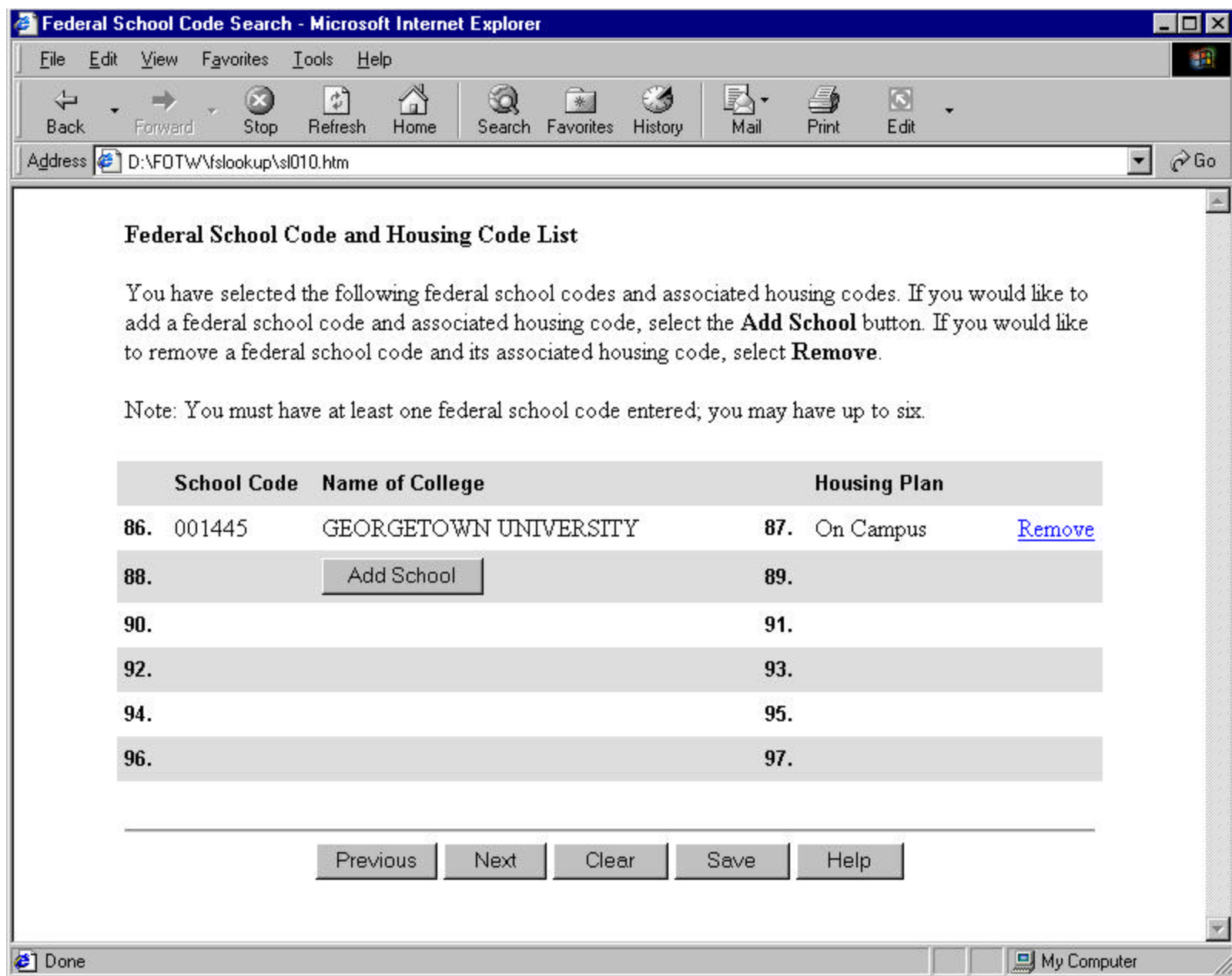
# FAFSA on the Web

## Enhancements

- ★ New and improved “save” process
  - With User ID and self-selected password, application saved to web site
  - Application saved for 45 days
- ★ New school code search uses shopping cart approach



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# FAFSA on the Web

## Enhancements

- ★ Option to provide e-mail address
  - 50-character field
  - Will be included on ISIR
  - Can correct via web or by calling 1-800-4FED AID
  - Displayed on SAR, but not correctable
- ★ ED will use e-mail address for electronic postcard



# FAFSA on the Web

## Enhancements

- ★ Expanded on-line application status checking feature for students
  - Can view EFC and processing dates, as in current cycle
  - Will be able to view all applicant data in SAR-like format by choosing specific transaction
  - After viewing, can correct data by clicking on link to Corrections on the Web
  - Can view comments associated with transaction

# FAFSA on the Web

## Enhancements

- ★ Will add real-time help on-line
- ★ Will allow applicants to
  - Ask questions online
  - Get help online
- ★ Available from multiple points on the web, including within application

# Renewal FAFSA on the Web

- ★ Will be available on Jan. 2, 2001
- ★ Contains enhancements made to FAFSA on the Web
- ★ PIN required to access
- ★ PIN serves as student's signature



# Corrections on the Web

- ★ Implemented on April 28, 2000, for 2000-2001 processing year and beyond
- ★ PIN required to access web corrections
- ★ Student can change all data elements on SAR, except SSN and date of birth
- ★ PIN serves as student's electronic signature
- ★ Parents of dependent students must sign only when parent data is corrected
- ★ New "shopping cart" approach to making corrections on the web

Correction Summary Report - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address D:\Download\Check1.htm

**This is the first step of FAFSA Corrections on the Web**

- o Please select the field(s) that you wish to correct
- o You can select all fields by selecting the "Check All" button
- o and unselect all fields by selecting the "Clear" button
- o Select the "Continue" button to go to the next step

**Questions about you**

<input type="checkbox"/> Name	John Doe
<input type="checkbox"/> Address	123 Fafsa Way Pasadena, CA 92870
<input type="checkbox"/> Social Security Number	412-32-1231
<input type="checkbox"/> Date of Birth	05/05/1980
<input checked="" type="checkbox"/> Telephone Number	
<input type="checkbox"/> Citizenship	U.S. Citizen
<input type="checkbox"/> Marital Status	Single, Divorced, or Widowed
<input type="checkbox"/> Grade Level '00-01	1st Year Att Prev
<input checked="" type="checkbox"/> HS Diploma/GED before college	Yes

**Questions about your finances**

<input type="checkbox"/> Did you file a 1999 tax return	Will not file
<input type="checkbox"/> Tax return filed	
<input checked="" type="checkbox"/> Eligible to file 1040A/EZ	No
<input type="checkbox"/> Student's 1999 AGI	\$ 23,456.00
<input type="checkbox"/> Student's 1999 taxes	\$ 2,345.00

Check All Continue Clear

Done My Computer

# PINs

- ★ Key to electronic commerce and modernizing our systems
- ★ PIN serves as electronic signature
- ★ PIN used to gain access to ED systems, including
  - Renewal FAFSA on the Web
  - Corrections on the Web
  - NSLDS
  - Direct Loan Servicing



# PINs

- ★ PINs mailed to all 2001-2002 applicants who signed FAFSA and successfully matched with SSA
- ★ Beginning in November 2000, PIN mailer will include a tear-out card
- ★ From PIN web site users can create their own PIN



# FAFSA Express

- ★ Available to download from the Web at [www.fafsa.ed.gov](http://www.fafsa.ed.gov) on Jan. 2, 2001
- ★ Web signature options available to FAFSA Express users
- ★ 2001-2002 will be last year for FAFSA Express





# 2001-2002 FAFSA

## ★ Green and Purple; pending testing:

- Green = PANTONE CVU 331
- Purple = PANTONE CVU 263

## ★ OMB Clearance -- May 26, 2000

## ★ Building on success of 99-00 Redesign

- Used as example at [www.plainlanguage.gov](http://www.plainlanguage.gov)
- Rejection rate for original paper FAFSAs through first 6 months of processing
  - 1998-1999: 16%
  - 1999-2000: 8.1%
  - 2000-2001: 7.6%

# 2001-2002 FAFSA

- ★ Paper FAFSA -- 'Year of Refinement'
- ★ Feedback from students, parents, schools, and states
- ★ Testing
  - 7 rounds
    - 1/2 Dependents with Parents
    - 1/2 Ind. (some with Spouses) Grad and Undergrad
  - Diverse mix of applicants

# 2001-2002 FAFSA

## New Page 1 Layout

- ★ Friendlier, cleaner, easier to read
- ★ Retains linear flow of 99-00 redesign
- ★ Added numbering:
  - 1 = 'Before'
  - 2 = 'During'
  - 3 = 'After'
  - 4 = 'Begin'
- ★ Added note that school must have information by last day of enrollment

# 2001-2002 FAFSA

## New Page 1 Layout, Cont.

- ★ “Unusual Circumstances” higher up
  - Public comments
  - Customer Service Task Force findings
- ★ State deadlines in own column
- ★ Toll number added for FSAIC for out-of-country students
- ★ June 30, 2002 is Sunday -- Final processing day Monday, July 1, 2002.
- ★ Notice of on-line status checking

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# 2001-2002 FAFSA

## Notes on Page 2

### ★ Grade level (#30)

- Values changed to better correspond to grade level
- Graduate/professional split into first-time and continuing



### ★ Foreign and Trust Territory returns

- Notes consolidated

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# 2001-2002 FAFSA

## Notes on Page 2, Cont.

### ★ Investment notes

- added “stock options”
- 2 paragraphs; Increased prominence of “Do not include...”

### ★ Veteran notes

- Reversed order of paragraphs
- Revised to include those previously in National Guard or Reserves and activated for duty
- Added “active duty”

# 2001-2002 FAFSA -- Page 3

- ★ Form layout revised
  - friendlier, cleaner
  - individual questions more prominent
- ★ First name changed from 9 to 12 char.
  - “Christoph”
  - NSLDS
- ★ Address changed from 28 to 35 char.
  - Spanish addresses
  - NSLDS

Data  
Element  
Change

Data  
Element  
Change

# 2001-2002 FAFSA -- Page 3, cont.

★ "Do you have a driver's license" deleted (#11 on 00-01)

- created space
- separated SSN and Phone Number

 Data  
Element  
Change

★ Enrollment status (#17-21)

- Removed horizontal rule
- "Not Sure" incorporated into "Full time"
- "Semester/Quarter" deleted

 Data  
Element  
Change



# 2001-2002 FAFSA -- Page 3, cont.

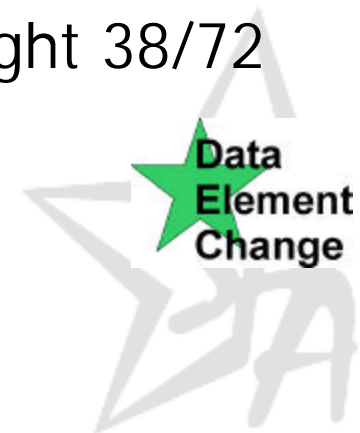
## ★ The Drug Question

- Not final
- May move from #28 to #35
- Technical Amendments
  - H.R. 4504 -- passed House June 12, 2000
  - Only those in school and receiving aid
  - Deny aid if left blank
- Renewal FAFSA data
- Testing



# 2001-2002 FAFSA -- Pages 4-5

- ★ Step Two instructions revised
  - less emphasis on “married”
- ★ Tax return filing (#36 and 70)
  - “Have filed” now “Have completed”
  - “Will file” now “Will file, but have not completed”
- ★ Which tax return (#37 and 71)
  - Layout of answers changed to highlight 38/72
- ★ Eligible to file
  - “Don’t Know” separate value



# 2001-2002 FAFSA

## Pages 4-5, cont.

### ★ Layout

- Horizontal rules removed
- Worksheets graphically grouped

### ★ Earned Income Credit (EIC) moved to worksheets

### ★ Worksheets

- Untaxed income now Worksheets A + B
- Income exclusions now Worksheet C

★ Data  
Element  
Change

★ Data  
Element  
Change

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# 2001-2002 FAFSA

## Pages 4-5, cont.

- ★ Wording of asset questions

- Revised to encourage applicants to use notes on page 2

- ★ Business and Investment Farm combined (#48 and 82)

- For 99-00, .1% of students and .5% of parents

- ★ Cash/savings moved after assets

- Testing indicated better results



# 2001-2002 FAFSA -- Step Three

- ★ Are you a graduate or professional student?
  - 99-00: no more “graduate” or “professional”
  - In consultation with schools, multiple iterations in testing led to:

Will you be working on a masters, doctorate, or professional degree program (such as an MD, JD, MA, PhD, etc.) during the school year 2001-2002?
  - 2 loopholes:
    - “Professional” undergrads (pharmacy, architecture, radio technology, etc.)
    - Graduate certificate programs (vs. grad. degree programs)
- ★ Split dependents other than spouse



# 2001-2002 FAFSA -- Step Four

- ★ Step Four instructions revised
  - “See page 7” incorporated into #59
- ★ Parents' SSNs
  - Cleaner layout
  - Instructions for parents w/ no SSN
- ★ Parent household (64) and number in college (65) revised
  - Encourages use of notes

HANDOUT

# 2001-2002 FAFSA -- Page 6

- ★ Student household (84) and number in college (85) revised
- ★ Layout of Step Six
  - Cleaner, more usable space
  - Housing plans
    - return to ovals
    - more prominent
- ★ Preparer's info separated graphically

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# 2001-2002 FAFSA -- Page 7

- ★ Notes for who is considered a parent
  - Clarified
  - Added “even if you do not live with them.”
- ★ Notes for number in college
  - “Always count yourself”





# 2001-2002 FAFSA -- Worksheets

## ★ Untaxed income split into A and B

A = 'needy'

B = 'non-needy'

- Added usability benefit
- Some commenters suggested 'tax' vs. 'no-tax'
  - Didn't address 'needy' issue
  - Difficulty regarding taxed vs. untaxed Social Security benefits

## ★ W-2 Box 14 -- nonelective contributions

## ★ "Any other untaxed income"

- Easier to read; emphasizes "Do not include" items

# 2001-2002 FAFSA -- Worksheets

## ★ Worksheet C

- AmeriCorps clarified (folded into “aid in AGI” item)
- Student grant and scholarship in AGI item clarified

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# The future...

## ★ 2001-2002:

- Tax line items and state deadlines updated this summer
- August:
  - Testing with students and parents
  - Posted to IFAP for SFA community review
  - Independent Review



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# 2001-2002 Renewal FAFSA

- ★ Questions reworded and reordered to correspond to FAFSA changes
- ★ All schools listed on student's 2000-2001 applicant record will receive ISIR unless student indicates otherwise



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# Renewal FAFSA

## ★ CPS will pre-populate data from 2000-2001 Application

- Number in College for dependent students
- Dates of Legal Residence
- Parent SSNs and Last Name
- Business and farm net worth
- “No” answer to “Legal Dependents” question

## ★ Renewal FAFSA and Instructions will be on IFAP by October

# Renewal Application Process

## ★ Two Renewal Application Process Action Letters

- Action Letter #1 posted to IFAP in July 2000 and provides general information about changes
- Second Action Letter on IFAP in August 2000 will provide detailed description of changes and instructions for making requests



# Renewal Application Process

Same options as in previous years:

- ★ CPS will automatically send PINs instead of paper Renewal FAFSAs to:
  - All 2000-2001 web filers (FOTW/RFOTW)
  - Applicants who used 2000-2001 COTW
  - Applicants who indicated on 2000-2001 FAFSA that they were graduate or 5th year undergraduate students
- ★ Schools can request all or selected students to receive PINs instead of paper Renewal FAFSAs

# Renewal Application Process

- ★ After 10/27, schools can request RAD file to determine if student received PIN or paper Renewal FAFSA
  - PIN indicator set: Student received PIN
  - PIN indicator blank: Student received paper Renewal FAFSA



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# Renewal Application Process

New for 2001-2002

- ★ On continuous basis, students can reapply for aid using Renewal FAFSA on the Web
- ★ FAAs can use web to request duplicate Renewal Applications to be printed and mailed to school for distribution



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# Renewal Application Process

## Important Dates

- ★ 2001-2002 schedule similar to last year
- ★ See Action Letter #1 on IFAP for complete schedule
- ★ CPS printing and mailing of paper Renewal FAFSAs and PINs will be completed by Dec. 29



# 2001-2002 SAR and ISIR

- ★ SAR will be green
- ★ SAR and ISIR record layout follow order of questions on FAFSA
- ★ Draft ISIR record layout available on IFAP (<http://ifap.ed.gov>)



# Student Aid Report

New for 2001-2002

- ★ SAR redesigned to be more student focused and friendly
  - First page explains where applicant is in the process and who players are
  - Comments simplified and reorganized
  - Eliminated comments designed for school use only
  - No separate Instructions and Codes page
- ★ Extensive usability testing with students and parents

# Student Aid Report

## NSLDS History Page

- ★ SAR Redesign, cont'd
  - NSLDS History Page condensed
    - Aggregate Loan Information
    - Information about all defaulted loans
    - Abbreviations spelled out for clarity
    - NSLDS web site address added



# Student Aid Report

New for 2001-2002

- ★ Students who apply on web or make corrections on web will receive SAR Acknowledgement
- ★ FAFSA Express filers will continue to receive SAR



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# ISIR Changes

★ You asked for it, you got it!

- New flag indicates whether correction was made by student or school
- New EFC Change Flag indicates if EFC has changed from previous transaction
- New file sent daily that lists applicants in Signature Hold File (separate message class)

# ISIR Changes

★ Correction flags modified to identify corrections both by transaction and cumulatively

0 = Field has not been corrected

1 = Field corrected on this transaction

2 = Field corrected on previous transaction



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# ISIR Changes

## Changes to NSLDS History Information

### ★ New fields added to ISIR

- Direct Loan Master Promissory Note (MPN) flag
  - A = Active
  - I = Inactive
  - C = Closed
  - N = No MPN on file
- FFEL MPN Flag (A, I, C, N)
- FFEL Lender Code
  - 6-digit code or N/A if does not apply
  - Y, if more than one lender

# ISIR

## Changes to NSLDS History Information

- ★ New fields added to ISIR at loan level
  - Additional Unsubsidized Loan Flag
  - Capitalized interest flag
- ★ “Outstanding Principal Balance” renamed “Aggregate Balance”



# Changes to Edits

- ★ Edit added to “number in college” for dependent students
  - Currently, number in college set to “1” if equal to number of family members
  - If parents are married, # in college cannot be greater than # of family members minus two
  - If parent is single, # in college cannot be greater than # of family members minus one
  - If PJ used, edits not applied

# Changes to Edits

- ★ If student corrects marital status or “Are You Married” question, comment generated
- ★ If Date of Birth (DOB) equals 1900, 1901, or 1902, SAR is rejected (verifiable reject A)
- ★ If taxes paid equal to AGI, SAR is rejected (verifiable reject C)
- ★ Paper FAFSAs without student signature will be processed; student sent rejected SAR (reject 14)

# Database Matches

- ★ Match with SSA's Prisoner's File will be implemented in 2001-2002 as postscreening
- ★ Will implement automatic INS secondary confirmation match as pilot

Washington, DC - July 9-12



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# 2001-2002 EDEExpress Changes

- ★ New EDEExpress software enables schools to request and print Renewal FAFSAs
- ★ Application Module
  - Added new option to print dialog for List-Processed ISIRs report that will allow users to limit list to include Highest or Active transactions only

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# EDExpress Changes

## ★ Application Module, cont'd

- Assumption and override capability added to “quick correct” process
- Import Edit Report used to identify active transaction will display both the Active Transaction EFC as well as the subsequent EFC and Default flag of the incoming ISIR

HANDOUT

# EDExpress Changes

## ★ Application Module, cont'd

- New CORRXXOP message class for correction files
- Combined ISIR import types: Automatic, Electronic Apps, Renewal Apps, Corrections/Duplicates, System Generated, State Agency, and YTD Data into one Import Type option on Import Dialog
- Value not calculated for difference in Tax Form Used on Verification Worksheet tab

**HANDOUT**



# EDExpress Changes

## ★ Application Module, cont'd

- ISIR transaction Add Date added as a new field to Apps Query database
- Student's e-mail address provided/correctable on demo tab
- Will include all new data elements added to the 2001-2002 FAFSA

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# EDExpress Changes

## ★ Global

- Ability to print out all available fields under query by module
- Add Date Range option added to Process Add Documents
- Ability to bring forward global security groups/ID's, document tracking setup, queries and demographic data

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# EDExpress Changes

## ★ Global, cont'd

- Fly-over help text in grids
- New hypertext that links Help screens to web

## ★ Packaging Module

- Allow for exclusion of Americorps/ Chapter 30 Veteran's Benefits as resource when calculating eligibility for Subsidized Stafford Loan

**HANDOUT**

# EDExpress Changes

## ★Packaging Module

- New “non need-based grants” aid type added to Fund Maintenance
- Will display reasons why record not ready to package at tab level
- New table added under “Browse” with Student Award by Term data
- Remaining need ranges available for Stafford/Plus loan aid types

HANDOUT

# EDExpress Changes

## ★ Packaging Module, cont'd

- Ability to bring forward majority of packaging setups and queries
- New “Aggregate Loan” button under Import Add will display NSLDS loan information

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# EDExpress Changes

## ★ Direct Loan Module

- Ability to bring forward queries
- E-mail address will be collected for servicing purposes through the origination and change processes
- Allow schools to report adjustments using the Net Adjustment Amount rather than just Net/Gross Amount

HANDOUT

# EDExpress Changes

## ★ Direct Loan Module, cont'd

- Add a flag from NSLDS that comes in on ISIRs that an MPN exists
- Disbursement #1 not required to be first disbursement; schools have flexibility to establish any disbursement as the first disbursement
- A record source added to the loan tab

HANDOUT

# EDExpress Changes

## ★ Direct Loan Module, cont'd

- Removed loan amount requested for Stafford Loans
- Hide fields within entry that do not apply based on loan type

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# EDExpress Changes

## ★ Pell Module

- Ability to update Disbursement records at an 'R' or 'E' disbursement status via External Import change process
- Ability to bring forward Pell Institution setups and queries
- Ability to batch calculate Pell awards on origination record at a "Not Ready" status

HANDOUT

# EDExpress Changes

## ★ Pell Module, cont'd

- Ability to do multiple entry on Hours/Weeks fields
- Warning message added after creating 85th disbursement record for External Import Add
- Ability to do multiple entry on disbursement records when origination record is at a batched status

**HANDOUT**

# Future Changes

- ★ New EDExpress software will enable schools to request and print Renewal FAFSAs
- ★ FAAs will have web access to CPS data
- ★ 2002-2003 FAFSA will use OPE ID number instead of Federal School Code
- ★ In 2002-2003, intend to require all FAA Adjustments to be done electronically

# 2000 Electronic Access Conferences

- ★ Nov. 13 - 15      Dallas, Texas
  - ★ Nov. 28 - 30      Atlanta, Georgia
  - ★ Dec. 11 - 13      Phoenix, Arizona
- <http://edeworkshop.walcoff.com>

*July 2000: Watch IFAP for Action Letter announcing conferences*

# For Help

## ★ IFAP

<http://www.ifap.ed.gov>



**SFA Technical Support** website

<http://www.ed.gov/sfatech>



**SFATech** e-mail listserve

For more info and subscription instructions:

<http://www.ed.gov/sfatech/listserv.html>

# For Help

★ CPS Customer Support: 1-800-330-5947

★ CPS@NCS.COM

★ TIV WAN Customer Service:  
1-800-615-1189

★ FAFSA Express and FAFSA on the Web  
Customer Service:

- 1-800-801-0576
- TTY: 1-800-730-8913



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# Give Us Your Comments or Questions

## ★ Application Processing, Students Channel

- Phone: (202) 260-9988
- FAX: (202) 401-1637



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